

# Chart parsing with non-atomic categories

L545

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(With thanks to Detmar Meurers)

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# Altering a chart parser to handle unification

By utilizing unification as we parse, we can eliminate parses that don't work in the end

- ▶ e.g., eliminate NPs that don't match in agreement features with their VPs as we parse, instead of as a filter

# Changes to the chart representation

Each state will be extended to include the LHS feature structure (FS), which can get augmented as it goes along

- ▶ i.e., Add a feature structure (in DAG form) to each state
  - ▶ So,  $S \rightarrow \bullet NP VP, [0,0]$
  - ▶ Becomes  $S \rightarrow \bullet NP VP, [0,0], FS_S$

The predictor, scanner, and completer have to pass the FS, so all three operations have to be altered

# Earley parser with atomic categories

**Prediction:** for each  $i[A \rightarrow \alpha \bullet_j B \beta]$  in chart  
for each  $B \rightarrow \gamma$  in rules  
add  $j[B \rightarrow \bullet_j \gamma]$  to chart

**Scanning:** let  $w_1 \dots w_j \dots w_n$  be the input string  
for each  $i[A \rightarrow \alpha \bullet_{j-1} w_j \beta]$  in chart  
add  $i[A \rightarrow \alpha w_j \bullet_j \beta]$  to chart

**Completion (fundamental rule of chart parsing):**

for each  $i[A \rightarrow \alpha \bullet_k B \beta]$  and  $k[B \rightarrow \gamma \bullet_j]$  in chart  
add  $i[A \rightarrow \alpha B \bullet_j \beta]$  to chart

## Chart parsing

Subsumption

Restriction

## Prediction:

for each  $_i[A \rightarrow \alpha \bullet_j B \beta]$  in chart

for each  $B' \rightarrow \gamma$  in rules

add  $_j[\sigma(B \rightarrow \bullet_j \gamma)]$  with  $\sigma = \text{mgu}(B, B')$  to chart

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## Prediction:

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- for each  $B' \rightarrow \gamma$  in rules
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The predictor takes the specification of  $B$  (i.e., FS) and finds the **most general unifier (mgu)** of  $B$  with  $B'$

- ▶ If  $B$  &  $B'$  do not unify, the rule for  $B'$  is not added to the chart
- ▶ Initially (i.e., at position 0), all that happens is that a dotted rule with a FS is added to the chart

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for each  $_i[A \rightarrow \alpha \bullet_k B \beta]$  and  $_k[B' \rightarrow \gamma \bullet_j ]$  in chart  
add  $_i[\sigma(A \rightarrow \alpha B \bullet_j \beta)]$  with  $\sigma = \text{mgu}(B, B')$  to chart

Again, a step of unification is added.

- ▶  $B$  and  $B'$  must unify in order for the dot to move
- ▶ The resulting (more specific) FS is added to the chart

# How to use a chart with feature structures

## Chart parsing

Subsumption

Restriction

- ▶ Use **unification** to combine categories in completion or prediction
- ▶ Each time a rule or edge is used, a new **copy** is made
- ▶ But how about testing whether an entry already exists in the chart?
  - ▶ Currently, we simply check to see whether a state *unifies* with something already in the chart and do not add a new state if it is already there
  - ▶ But a more specific or a more general state may already be in the chart



# The subsumption problem (based on Covington 1994)

- ▶  $S \rightarrow NP VP$
- ▶  $NP \rightarrow Det N$
- ▶  $VP \rightarrow V'(0)$
- ▶  $VP \rightarrow V'(X) Comps(X)$
- ▶  $V'(X) \rightarrow V(X)$
- ▶  $V'(X) \rightarrow Adv V(X)$
- ▶  $Comps(1) \rightarrow NP$
- ▶  $Comps(2) \rightarrow NP NP$
  
- ▶  $Det \rightarrow the$
- ▶  $N \rightarrow dog$
- ▶  $N \rightarrow cat$
- ▶  $Adv \rightarrow often$
- ▶  $V(0) \rightarrow sings$
- ▶  $V(1) \rightarrow chases$
- ▶  $V(2) \rightarrow gives$

## The subsumption problem (2)

What happens when we try to parse *the dog chases the cat*?

- ▶ At position 2 (between *dog* and *chases*), from 2 to 2, the parser predicts:
  - ▶  $VP \rightarrow \bullet V'(0)$
  - ▶  $V'(0) \rightarrow \bullet V(0)$
  - ▶  $V'(0) \rightarrow \bullet Adv\ V(0)$
  - ▶  $VP \rightarrow \bullet V'(X)\ Comps(X)$
- ▶ What happens when we scan *chases*?
  - ▶ We have a passive  $V(1)$  edge
  - ▶ But there is no predicted  $V'(1)$  edge—only  $V'(0)$

# Using subsumption to check the chart

Subsumption check: Do not add a state to the chart if an equivalent or more general state is already there.

- ▶ In trying to add a singular determiner state at  $[x, y]$ , if the chart already has a determiner state at  $[x, y]$  unspecified for number, do not add it
- ▶ Without a subsumption restriction, we could add two states at  $[x, y]$ , one expecting to see a singular determiner, the other just a determiner.
  - ▶ On seeing a singular determiner, the parser advances the dot on both rules, creating two edges (since singular unifies with singular and with unspecified).
  - ▶ As a result, we would get duplicate edges.
- ▶ With subsumption, if either a singular or plural determiner is encountered, we advance the dot, creating only one edge (singular or plural) at  $[x, y]$

# Checking for subsumption

## Case 1

Let's define a function `subsumes_chk` which takes 2 arguments: more general item & more specific item

No variables:

- ▶ `subsumes_chk(V'(1), V'(1))` . → yes
- ▶ `subsumes_chk(V'(1), V'(2))` . → no

Compound terms without variables are either identical or different, i.e., here: subsumption = unification

# Checking for subsumption

## Case 2

Variables only in more general term:

- ▶  $\text{subsumes\_chk}(V'(X), V'(1)) . \rightarrow \text{yes}$
- ▶  $\text{subsumes\_chk}(\text{foo}(X, X), \text{foo}(1, 1)) . \rightarrow \text{yes}$
- ▶  $\text{subsumes\_chk}(\text{foo}(X, X), \text{foo}(1, 2)) . \rightarrow \text{no}$

Succeeds if a consistent variable assignment exists, i.e.,  
here: subsumption = unification

# Checking for subsumption

## Case 3

Variables in both terms:

- ▶  $\text{subsumes\_chk}(\text{vbar}(X), \text{vbar}(Y))$  .  $\rightarrow$  yes
  - ▶  $\text{subsumes\_chk}(\text{vbar}(X), \text{vbar}(\text{foo}(1, Y)))$  .  $\rightarrow$  yes
  - ▶  $\text{subsumes\_chk}(\text{vbar}(\text{foo}(1, 2)), \text{vbar}(\text{foo}(1, Y)))$  .  
 $\rightarrow$  no
- ▶ Succeeds if terms can be unified without further instantiating more specific term; in other words:
- ▶ Unification should not require a particular instantiation of a variable in the more specific term.
- ▶ Idea: Identify each variable in more specific term with a unique, variable-free term; then subsumption = unification.

# The restriction problem

Shieber et al 1995: Grammar accepting  $ab^n$  with  $N$  being instantiated to the successor representation of  $n$ .

$$\begin{aligned}\text{start} &\rightarrow \mathbf{r}(0, N) \\ \mathbf{r}(X, N) &\rightarrow \mathbf{r}(s(X), N) \mathbf{b} \\ \mathbf{r}(N, N) &\rightarrow \mathbf{a}\end{aligned}$$

Prediction step with unification will loop:

1	${}_0[\text{start} \rightarrow \bullet_0 \mathbf{r}(0, N)]$
2	pred $\mathbf{r}(0, N)$ in 1 ${}_0[\mathbf{r}(0, N) \rightarrow \bullet_0 \mathbf{r}(s(0), N) \mathbf{b}]$
3	pred $\mathbf{r}(s(0), N)$ in 2 ${}_0[\mathbf{r}(s(0), N) \rightarrow \bullet_0 \mathbf{r}(s(s(0)), N) \mathbf{b}]$
4	pred $\mathbf{r}(s(s(0)), N)$ in 3 ${}_0[\mathbf{r}(s(s(0)), N) \rightarrow \bullet_0 \mathbf{r}(s(s(s(0))), N) \mathbf{b}]$
5	pred $\mathbf{r}(s(s(s(0))), N)$ in 3 ${}_0[\mathbf{r}(s(s(s(0))), N) \rightarrow \bullet_0 \mathbf{r}(s(s(s(s(0))))]$
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# Using restriction to prevent prediction loops

- ▶ Prediction terminates for grammars with atomic categories, since a new item is only added to the chart if not already there and there is a finite number of atomic categories.
- ▶ Moving beyond atomic categories, there can be an infinite number of non-atomic categories.
- ▶ Prediction loop on left-recursive rules can be problem again.
- ▶ Solution: restrict number of predicted categories to finitely many cases



# Prediction with restriction

for each  $i[A \rightarrow \alpha \bullet_j B \beta]$  in chart  
for each  $B' \rightarrow \gamma$  in rules  
add  $j[\sigma(B \rightarrow \bullet \gamma)]$  with  $\sigma = \text{restriction}(\text{mgu}(B, B'))$  to chart

$\text{restriction}(\text{mgu}(B, B'))$  can be any operation reducing the number of possible substitutions to finite classes:

- ▶ depth bound on term complexity
- ▶ elimination of terms that are known to grow indefinitely
- ▶ use of only selected terms known not to grow indefinitely

This is sound since prediction only creates a hypothesis to be completed!

# Example

Grammar: **start**  $\rightarrow$  **r(0, N)**  
**r(X, N)**  $\rightarrow$  **r(s(X), N)** **b**  
**r(N, N)**  $\rightarrow$  **a**

Parsing using a restrictor that replaces every term deeper than 2 with a variable:

1		${}_0[\mathbf{start} \rightarrow \bullet_0 \mathbf{r(0, N)}]$
2	pred <b>r(0, N)</b> in 1	${}_0[\mathbf{r(0, N)} \rightarrow \bullet_0 \mathbf{r(s(0), N)} \mathbf{b}]$
3	pred <b>r(s(0), N)</b> in 2	${}_0[\mathbf{r(s(0), N)} \rightarrow \bullet_0 \mathbf{r(s(s(0))), N)} \mathbf{b}]$
4	pred <b>r(s(s(A)), N)</b> in 3	${}_0[\mathbf{r(s(s(A)), N)} \rightarrow \bullet_0 \mathbf{r(s(s(s(A))), N)} \mathbf{b}]$
5	pred <b>r(s(s(A)), N)</b> in 4	= edge 4
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